

# Advisor managed funds checklist

## Thrivent paperwork

- ✓ When you complete the online fund form, make sure to select “I would like the named Financial Professional to recommend how my fund's assets be invested (Advisor Managed Fund)” under *How would you like to invest your fund's assets?*
- ✓ Complete the Advisor Managed Fund Supplemental Fund Application and submit it to our team.

## Brokerage account paperwork

- ✓ The financial professional reviews and confirms an understanding with our program policies and guidelines.
- ✓ We will provide a sample brokerage account application to the financial professional with Thrivent Charitable Impact & Investing listed as the client. Any reference to the account owner and name should be: "Thrivent Charitable Impact & Investing FBO – Charitable Fund Name."
- ✓ We will also provide Articles of Incorporation and Corporate Resolution documentation.
- ✓ The financial professional completes and submits the brokerage application.
- ✓ The financial professional provides Investment Overview, SIS and Client Agreement paperwork for review and signatures.
- ✓ The financial professional links the brokerage account to their investment profile. The account will be registered to our Tax ID, 41-1802412.
- ✓ The financial professional notifies our team once the account is established and provides the account number so that we can link to our other accounts.

## Making a gift

- ✓ Send gifts to Thrivent Charitable Impact & Investing.
- ✓ A gift receipt will be generated and sent to your client(s) for tax purposes. Proceeds will be wired to the newly established brokerage account.

*Note: Only Give Now gifts are eligible for advisor managed funds.*

Thrivent Charitable Impact & Investing<sup>®</sup> is a public charity that serves individuals, organizations and the community through charitable planning, donor-advised funds and endowments. Thrivent Charitable Impact & Investing works collaboratively with Thrivent and its financial advisors. It is a separate legal entity from Thrivent, the marketing name for Thrivent Financial for Lutherans.

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